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Our Case No. 4672/363

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Neal Brady et al.

Serial No. 10/001,237

Filing Date: October 30, 2001

For NETWORK AND METHOD FOR  
TRADING DERIVATIVES

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)  
) Examiner: F. Poinvil  
)  
) Group Art Unit No. 3628  
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)

**PRELIMINARY AMENDMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please consider the following amendment and remarks.